**Instructions and description for filling out Affidavit for Retirement/Mothball**

1. The following Affidavit sample describes the different scenarios the Affidavit would be used by Participating Generators for informing the CAISO of its intent to retire or mothball. The color coded scheme explains the different sections that need to be filled out by Participating Generators in accordance with their scenario of retirement.
2. The Section 12 of the CAISO [BPM for Generator Management](https://bpmcm.caiso.com/Pages/BPMDetails.aspx?BPM=Generator%20Management) describes the four (4) different scenarios of retirement available to Participating Generators and the different requirements associated with each scenario. The Affidavit is a critical piece of those requirements and is required for submission by all retiring/mothballing Participating Generators.
3. The CAISO also requires each Participating Generator to submit this Affidavit for rescission of retirement/mothball notice prior to effective date, returning to service from Mothball, or change in scenario of retirement. For example Participating Generators moving from Scenario 4 to Scenario 3, or Participating Generators moving from Scenario 2 to Scenario 1 or Scenario 3, shall submit this Affidavit as part of their notice of change in status.

For any questions, please contact CAISO at RegulatoryContracts@caiso.com

**Notice of Generating Unit Retirement or Mothball**

**Including Rescission of Retirement or Mothball**

This is a notification of the retirement or mothballing of a Generating Unit in accordance with Section 41 of the CAISO Tariff and the CAISO BPM for Generator Management. An electronic copy of this completed form can be sent to the CAISO at RegulatoryContracts@caiso.com.

The CAISO may request additional information as reasonably necessary to support its review of planned non-operations.

Legal Owner of the Generating Unit: ***ABC Solar, LLC***

Legal Owner’s state of organization or incorporation: ***California***

Name of Scheduling Coordinator: ***XYZ Power Partners, LP***

Identity of Generating Unit(s) Subject to Retirement/Mothball (Resource Name / Resource ID):

***ABC Unit 1 / SOLAR\_1\_UNIT1***

Category of Retirement: ***[ X ] Scenario 1: Repower/Enter Queue and Decommission Unit***

***[ X ] Scenario 2: Undecided and Decommission Unit***

***[ X ] Scenario 3: Permanent Retirement***

***[ X ] Scenario 4: Mothball***

***[ X ] Rescission of Notice Prior to Effective Date***

***[ X ] Terminate Mothball Status and Return to Service***

Reason for retirement: Lost resource adequacy contract or permanent damage to generating unit ***[list specific reason for retirement request]***

Pursuant to the terms of the CAISO Tariff, Owner hereby certifies that:

[ ***X*** ] In accordance with the Business Practice Manual for Generator Management, it is retiring the Generating Unit effective ***January*** *[month]*, ***1*** *[day]*,***2020*** *[year]*.The Generating Unit does not have a contract for Resource Adequacy Capacity for *[check one or both]* ***X*** the current year and/or ***X*** the upcoming year, it is uneconomic for the Generating Unit to remain in service for such year(s), and the decision to retire is definite unless the CAISO procures the Generating Unit, the Generating Unit is sold to an unaffiliated third-party, a third-party contracts with the Generating Unit for Resource Adequacy purposes, or the Generating Unit obtains some other contract.

[ ***X*** ] In accordance with the Business Practice Manual for Generator Management, it is retiring the Generating Unit effective ***January*** *[month]*, ***1*** *[day]*,***2020*** *[year]*.The Generating Unit does not have a contract for Resource Adequacy Capacity for *[check one or both]* ***X*** the current year and/or ***X***  the upcoming year, it is retiring the Generating Unit for reasons other than it is uneconomic for the unit to remain in service during such year(s).

Owner is retiring the Generating Unit for the following reason(s) (state with specificity the reason for retiring the unit):

Permanent damage to generating unit ***[list specific reason for retirement request]***

The decision to retire the Generating Unit is definite. Note: The CAISO may designate the resource for RMR service if needed for reliability.

State with specificity any legal, regulatory, or other reason(s) that might present an obstacle to providing RMR service:

Lost license of operation/permanent damage/lost lease, etc. ***[list specific reason that would prevent continuing service]***

[ ***X*** ] In accordance with the Business Practice Manual for Generator Management, it is mothballing the Generating Unit effective ***January*** *[month]*, ***1*** *[day]*,***2020*** *[year]*.The Generating Unit does not have a contract for Resource Adequacy Capacity for *[check one or both]* ***X*** the current year and/or ***X***  the upcoming year, it is uneconomic for the Generating Unit to remain in service for such year(s), and the decision to mothball is definite unless the CAISO procures the Generating Unit, the Generating Unit is sold to an unaffiliated third-party, a third-party contracts with the Generating Unit for Resource Adequacy purposes or the Generating Unit obtains some other contract.

[ ***X*** ] It is rescinding its prior notice to retire or mothball the Generating Unit before the effective date of the retirement or mothball, because the CAISO has procured the unit, the Generating Unit was sold to an unaffiliated third-party, a third-party contracted with the Generating Unit for Resource Adequacy purposes, or the Generating Unit obtained some other contract.

State with specificity the reason(s) for rescinding the notice:

Received resource adequacy contract ***[list specific reason for request to rescind prior notice]***

[ ***X*** ] It is terminating the Generating Unit’s mothball status because the CAISO procured the Generating Unit, the Generating Unit was sold to an unaffiliated third-party, a third-party contracted with the Generating Unit for Resource Adequacy purposes, the Generating Unit obtained some other contract, or it is economic for the Generating Unit to return to service.

State with specificity the reason(s) for returning from mothball status:

Received resource adequacy contract ***[list specific reason for request to terminate mothball status]***

[ ***X*** ] As the Resource Owner I acknowledge that it is my responsibility to submit the Resource Owner letter (available at: [http://www.caiso.com/Documents/ResourceOwnerSchedulingCoordinator
Selection-LetterTemplate.doc](http://www.caiso.com/Documents/ResourceOwnerSchedulingCoordinatorSelection-LetterTemplate.doc)) to SCrequests@caiso.com to end my SC association.

Owner understands that it must comply with all applicable CAISO Tariff and BPM requirements for retiring a Generating Unit, or mothballing a Generating Unit, or returning a Generating Unit from retirement or mothball status.

Owner understands and agrees that this notification is provided in accordance with Section 41 of the CAISO’s Tariff and the request will be noted in the publicly available spreadsheet located at: <http://www.caiso.com/Documents/AnnouncedRetirementAndMothballList.xlsx>.

The undersigned certifies that he or she is an officer of the owner of the Generating Unit, that he or she is authorized to execute and submit this notification and has legal authority to bind the company, and that the statements contained herein are true and correct to the best of his or her knowledge and that this notice is executed under penalty of perjury.

Signature

Name:

**Contact Information**

Title:

Email:

Date:

Phone:

STATE OF:

COUNTY OF:

Before me, the undersigned authority, this day appeared \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, known by me to be the person whose name is subscribed to the foregoing instrument, who, after first being sworn by me deposed and said:

“I am an officer of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, I am authorized to execute and submit the foregoing notification on behalf of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, and the statements contained in such application are true and correct.”

SWORN TO AND SUBSCRIBED TO BEFORE ME, the undersigned authority on this the \_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_\_\_, \_\_.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Notary Public, State of \_\_\_\_\_\_\_\_\_\_\_

My Commission expires \_\_\_\_\_\_\_\_\_\_